



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Fisher, Wayne E.  
Assignee: NEON Enterprise Software, Inc. (f/k/a Peregrine Bridge Transfer Corporation)  
Title: Space Management Of An IMS Database  
Serial No.: 10/037,933 Filing Date: October 19, 2001  
Examiner: Alford W. Kindred Group Art Unit: 2172  
Docket No.: 39802-P001US Confirmation No.: 7604  
(f/k/a M-10965 US)

Dallas, Texas  
July 2, 2004

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

JUL 14 2004

Technology Center 2100

**SECOND INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(c) WITH FEE**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application for which no copies are being provided.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

These documents include documents that were cited in patent(s) and/or patent application(s) related to the present patent application.

07/09/2004 ZJUHA1  
02 FC:1806

00000069 10037933

180.00 OP

I have enclosed a firm check in the amount of \$180.00. If the fee has been calculated incorrectly, the Commissioner is hereby authorized to charge any insufficiency of payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 23-2426 (39802-P001US) in the name of Winstead Sechrest & Minick P.C. A duplicate copy of this transmittal letter is enclosed.



Michael P. Adams  
Attorney for Applicant(s)  
Reg. No. 34,763


RECEIVED

JUL 14 2004

CERTIFICATION UNDER 37 C.F.R. § 1.8

Technology Center 2100

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450, on July 2, 2004.



Signature



U.S. Department of Commerce, Patent and Trademark Office						Atty Docket No.		Serial No.	
						39802-P002US		10/037,933	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicant(s)			
(Use several sheets if necessary)						Wayne E. Fisher			
						Filing Date		Group	
						10/19/01		2172	
U.S. Patent Documents									
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	AA	5,644,696	7/1/97	Pearson, et al.					
	AB	5,914,904	6/29/99	Theis					
	AC	6,343,296 B1	1/29/02	Lakhamraju, et al.					
	AD	6,411,964 B1	6/25/02	Iyer, et al.					
	AE	US2003/0088572A1	5/8/03	Smith					
	AF	US2002/0143763A1	10/3/02	Martin, Jr., et al.					
	AG	6,691,121 B1	2/10/04	Heronimus, et al.					
	AH	6,606,631 B1	8/12/03	Martin, Jr., et al.					
	AI	6,418,443 B1	7/9/02	Martin, Jr.					
	AJ	6,349,299 B1	2/19/02	Spencer, et al.					
Foreign Patent Documents									
							Translation		
		Document	Date	Country	Class	Subclass	Yes	No	
	BA								
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
	CA	Testing for Indoubt Units of Work in a Transaction Processing System, 1 January 1996, IBM Technical Disclosure Bulletin, Vol. 39, No. 1, pp. 329-330.							
	CB	DL/1 Subset File Organization and Access Technique, 1 March 1980, IBM Technical Disclosure Bulletin, Vol. 22, No. 10, pp. 4702-4708.							
	CC	Gillenson, "Physical design equivalencies in database conversion," ACM 1990, pages 120-131.							
	CD	Lusk et al., "A practical design methodology for the implementation of IMS databases, using the entity-relationship model," ACM 1980, pages 9-21.							
	CE	Biliris et al., "A high performance configurable storage manager," IEEE 11995, pages 35-43.							
	CF	Park et al., "Optimal database reorganization policies: a stochastic control approach," IEEE 1989, pages 752-761.							
	CG	Wietrzyk et al., "ADDROL: a method for on-line reorganization in a multi-system DBMS with shared disks," IEEE 2000, pages 378-383.							
Examiner				Date Considered					

RECEIVED

JUL 14 2004

Technology Center 2100

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

Austin\_1\248469\1  
39802-P001US 7/2/2004



**RECEIVED**

JUL 14 2004

Technology Center 2100